

### **BROKER APPLICATION**

Company Name			Years In Business						
Street Address									
Mailing Address									
City	Sta	ate	Zip						
Phone	Fax	En	Email						
Contact Person		Title							
Company Type (please check one) Corpo	ration S-Corp Sc	ole Prop. LLC							
Federal ID #									
Owner/Principal Name									
Address									
Who are the primary funding sources that yo	u currently use?								
Company		Contact							
Phone		E-mail							
Company		Contact							
Phone		E-mail							
Total Annual Financing Volume		Average Tr	ansaction Size						
What is your industry focus type / product ty	pe?								
Circustore									
Signature									
Title		Date	<del> </del>						

# Form (Rev. December 2014) Department of the Treasury

## Request for Taxpayer Identification Number and Certification

Give Form to the requester. Do not send to the IRS.

mema	Revenue Service																												
	1 Name (as show	wn on	your i	ncome	tax re	eturn). I	Name	ne is r	requi	iired o	on this	is line;	; do n	not lea	ave thi	s line l	olank.												
ge 2.	2 Business name/disregarded entity name, if different from above																												
Print or type See Specific Instructions on page	3 Check appropriate box for federal tax classification; check only one of the following seven boxes:  Individual/sole proprietor or C Corporation S Corporation Partnership Trust/estate single-member LLC  Individual/sole proprietor or C Corporation S Corporation P Partnership D Corporation D Partnership D Partnership D Corporation D Partnership D Corporation D Partnership D Corporation D Partnership D Partnership D Corporation D Partnership D P Partnership D D P Partnership D D P P P P P P P P P P P P P P P P P												te	4 Exemptions (codes apply only to certain entities, not individuals; see instructions on page 3):  Exempt payee code (if any)															
Print or type Instruction	Limited liability company. Enter the tax classification (C=C corporation, S=S corporation, P=partnership) ►  Note. For a single-member LLC that is disregarded, do not check LLC; check the appropriate box in the line above for the tax classification of the single-member owner.										or	Exemption from FATCA reporting code (if any)																	
je je	Other (see in	nstruc	tions)	<b>•</b>																		(Applies to accounts maintained outside the U.S.)							
l pecific													queste	ester's name and address (optional)															
6 City, state, and ZIP code																													
	7 List account nu	umber	r(s) he	re (opti	ional)																								
Par	t I Taxpa	ayeı	r Ide	ntifi	catio	on N	lum	nbei	r (T	ΓIN)	)																		
								Social security number																					
backup withholding. For individuals, this is generally your social security number (SSN). However, for a resident alien, sole proprietor, or disregarded entity, see the Part I instructions on page 3. For other entities, it is your employer identification number (EIN). If you do not have a number, see <i>How to get a</i>										-		] -																	
TIN on page 3.										r																			
Note. If the account is in more than one name, see the instructions for line 1 and the chart on page 4 for						r [	Employer identification number																						
guidelines on whose number to enter.											-																		
Par	Certif	ifica	tion																										
Under	penalties of per	rjury,	I cert	ify tha	at:																								
1. Th	e number shown	n on tl	his fo	orm is	ту сс	orrect	t taxp	фауе	er ide	dentifi	ficatio	on nui	umbe	er (or	r I am	waitir	ng for	r a nı	umbe	r to b	e is	suec	d to r	ne);	and				
Se	m not subject to rvice (IRS) that I longer subject to	am s	subjec	ct to b	ackup	p with	hhold																						
3. I ai	m a U.S. citizen o	or oth	her U	.S. pe	rson (	(define	ned b	belov	w); a	and																			
4. The	FATCA code(s)	) ente	red o	n this	form	(if any	y) inc	ndicat	ating	that	t I am	n exer	mpt	from	ı FAT	CA rep	portir	ng is	corre	ect.									
Certif becau interes general instruc	ication instructi ise you have faile st paid, acquisiti ally, payments of ctions on page 3	tions. led to ion or other t	You repo rabar	must ort all in ndonm	cross nteres nent o	s out it st and of secu	item : d divid cured	n 2 ab vidend d pro	bove nds o opert	e if yo on yo rty, ca	ou ha our ta cancel	ave be ax retu ellation	een turn. on of	notif . For f debt	fied by real e t, con	y the l state tributi	IRS ti trans ons t	hat y actions to an	ou ar ons, it indiv	e cur em 2 ridual	doe retii	es no reme	ot ap ent a	ply. I	For i	mort ent (l	gage IRA),	and	Ŭ
Sign Here																	D.	ata 🏲											

#### **General Instructions**

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments.** Information about developments affecting Form W-9 (such as legislation enacted after we release it) is at <a href="https://www.irs.gov/fw9">www.irs.gov/fw9</a>.

### Purpose of Form

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)

- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding? on page 2.

By signing the filled-out form, you:

- 1. Certify that the TIN you are giving is correct (or you are waiting for a number to be issued),
  - 2. Certify that you are not subject to backup withholding, or
- 3. Claim exemption from backup withholding if you are a U.S. exempt payee. If applicable, you are also certifying that as a U.S. person, your allocable share of any partnership income from a U.S. trade or business is not subject to the withholding tax on foreign partners' share of effectively connected income, and
- 4. Certify that FATCA code(s) entered on this form (if any) indicating that you are exempt from the FATCA reporting, is correct. See *What is FATCA reporting?* on page 2 for further information.